

Direct and Cross Examination of Neurologist Mark Miller, M.D. in a Traumatic Brain Injury Case

May 4, 2018.

This is the redacted trial transcript of Mark Miller testimony in a case tried in April, 2018 in the Circuit Court of Fairfax County. This transcript includes the direct and cross examination of **Dr Miller**, a local “sleep specialist” who was hired by State Farm to defend a case where a former lawyer claimed he sustained a brain injury due to a car accident.

This transcript may be valuable and important to lawyers who are facing Dr. Miller in a trial and we will be happy to provide the unredacted copy to those lawyers. (This copy does not have either our client or the young defendant identified. Neither deserved to have to go through this trial.)

Dr. Miller testified that all of the plaintiff’s current symptoms were the result of long-standing severe obstructive sleep apnea. He had no opinion, however, on whether this prevented the plaintiff from working.

State Farm, who hired Dr. Miller to evaluate the plaintiff’s medical records and testify against him, never offered a penny to settle the case.

The jury returned a verdict of \$450,950. State Farm did not appeal the verdict.

Additional transcript from this trial is available at BrianInjuryTrialTranscript.com

Unredacted transcript and other information about Dr. Miller is available to plaintiff’s attorneys from

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V I R G I N I A

IN THE CIRCUIT COURT OF FAIRFAX COUNTY

- - - - - x
 :
 BARRY PLAINTIFF, :
 :
 Plaintiff, :
 :
 -vs- : CL-2017-0000832
 :
 BENJAMIN T. DEFENDANT, :
 :
 Defendant. :
 :
 - - - - - x

Circuit Courtroom 4J
Fairfax County Courthouse
Fairfax, Virginia

Wednesday, April 18, 2018

The above-entitled matter came on to be heard before the HONORABLE RANDY I. BELLOWS, Judge, in and for the Circuit Court of Fairfax County, in the Courthouse, Fairfax, Virginia, beginning at 10:00 o'clock a.m.

APPEARANCES:

On Behalf of the Plaintiff:

JAMES S. ABRENIO, ESQUIRE
BENJAMIN GLASS, ESQUIRE

On Behalf of the Defendant:

HEATHER K. BARDOT, ESQUIRE

* * * * *

C O N T E N T S

WITNESS	DIRECT	CROSS	REDIRECT	RE CROSS
PEDER K. MELBERG	9	27	54	-
GARY KAY	63	150	207	-
MARK MILLER, M.D.	223	252	258	-
BARRY PLAINTIFF	260	-	-	-

* * * * *

E X H I B I T S

	FOR IDENTIFICATION	IN EVIDENCE
Plaintiff's Exhibit No. 10 (Mr. Melberg's Resume)	12	12
Defendant's Exhibit No. 3 (Dr. Kay's CV)	75	75
Defendant's Exhibit No. 2 (Dr. Miller's CV)	228	228

1 p.m., the jury returned to the courtroom and resumed their
2 seats in the jury box.)

3 Whereupon

4 MARK MILLER

5 a witness, was called for examination by counsel on behalf
6 of the Defendant, and having been duly sworn by the Clerk
7 of the Court, was examined and testified, as follows:

8 DIRECT EXAMINATION

9 BY MS. BARDOT:

10 Q Dr. Miller, thank you for your patience. I'm
11 sorry for the delay, but could you go ahead and state your
12 name and business address for the jury, please.

13 A Mark Miller, 18101 Prince Phillip Drive,
14 Olney, Maryland.

15 Q Can you please tell the jury your education,
16 starting with medical school.

17 A Dartmouth Brown Medical School, residency
18 Portsmouth Naval Hospital, fellowship at Walter Reed
19 National Capital Area Program, including a year up at
20 Bayview Hopkins.

21 Q And what was your fellowship at National
22 Capital, what area?

23 A Pulmonary critical care and sleep.

1 Q How about your fellowship at Johns Hopkins?

2 A That was sleep.

3 Q What is your work history after completing
4 your fellowship program?

5 A Navy for six years. That was at first all
6 pulmonary critical care sleep, and then declining
7 pulmonary critical care, all sleep essentially for the
8 past 10 to 12 years.

9 Q In 2003 were you the director of the intensive
10 care unit for USS Comfort?

11 A Yes.

12 Q And what did that entail?

13 A Eighty bed ICU, invasion of Iraq, running all
14 the injured soldiers and sailors.

15 Q Are you the current medical director of the
16 Sleep Center Medstar Medical Center?

17 A Yes.

18 Q How long have you been the medical director
19 there?

20 A Since 2003 or '04.

21 Q Are you also the medical director of the sleep
22 center for the Cardiac Care Associates?

23 A Yes.

1 Q That was since 2011?

2 A Yes.

3 Q Is it fair to say that your practice has been
4 exclusively focused on sleep disorders since 2001?

5 A 2003 or '04. I did still a little pulmonary
6 critical care for a few years.

7 Q So at least then for the last 15 years or so,
8 your exclusive practice area has been sleep disorders?

9 A Yes.

10 Q With regard to sleep disorders, what areas do
11 you focus on and in what percentages?

12 A Sleep disorder breathing, sleep apnea, about
13 80 percent; maybe 10 percent insomnia; 10 percent
14 disorders of daytime alertness; a little bit of parasomnia
15 is in there.

16 Q What's parasomnia?

17 A Activity during sleep, sleep walk, sleep talk,
18 acting out a dream content, night -- nocturnal seizures.

19 Q What does it mean to be Board Certified?

20 A I took a special certification and ADI Board
21 test. It's analogous to a pulmonologist boarded or
22 cardiac care boarded.

23 Q What's the significance of being Board

1 Certified?

2 A It means you did special fellowship training
3 and were able to pass the test and had significant
4 training prior to that.

5 Q In what areas are you Board Certified?

6 A So I passed the exams in internal medicine,
7 pulmonary critical care, sleep. Sleep's the one I
8 continue with.

9 Q So is there actually a sub-specialty board
10 certification for sleep medicine?

11 A Yes. You get there via internal medicine and
12 then you go on to a fellowship in sleep. You can also get
13 there via ENT, going on to do sleep. Psychiatrists can go
14 on to do sleep. Mine was via ABIM.

15 Q Are you affiliated with any hospitals?

16 A Medstar Olney Hospital.

17 Q Are you licensed to practice in any
18 jurisdictions?

19 A Maryland and Virginia.

20 Q Explain to the jury what the field of sleep
21 medicine entails.

22 A Sleep medicine is primary clinical. I see
23 patients, 20 to 30 a day, four or five days a week.

1 We do sleep studies, we treat patients for
2 sleep disorder breathing, using a variety tools and
3 medications for some problems. But no surgeries, so it's
4 mainly clinical work.

5 Q You said you treat 20 to 30 patients a day,
6 four to five days a week?

7 A That's correct.

8 Q Now, today you're appearing here in a forensic
9 forum.

10 Do you understand that?

11 A Yes.

12 Q What percent of your case work is forensic?

13 A When I was active duty I was called as an
14 expert witness for a couple of cases of active duty
15 members, sort of criminal things.

16 Q How long ago was that?

17 A That was in 2003.

18 Q Would this be the first forensic case you've
19 done in a private practice context?

20 A Yes.

21 Q If you would look in the white book right
22 there, and look at Exhibit No. 2.

23 A Yes.

1 Q Is that your CV?

2 A Yes.

3 MS. BARDOT: I would offer that at this time.

4 MR. GLASS: No objection and no objection to
5 him testifying.

6 THE COURT: It's in. There was no objection.

7 (The document referred to above was
8 marked Defendant's Exhibit No. 2, for
9 identification, and received in
10 evidence.)

11 MS. BARDOT: I would offer him for formality
12 as an expert in the field of internal medicine and sleep
13 medicine disorders.

14 THE COURT: All right. No objection to that?

15 MR. GLASS: No, sir.

16 THE COURT: All right. He'll be so qualified.

17 BY MS. BARDOT:

18 Q Dr. Miller, I asked you to get involved in
19 this case to examine the complaints that Mr. PLAINTIFF
20 relates to the accident from the perspective of a medical
21 provider who evaluates sleep disorders.

22 Do you understand that?

23 A Yes.

1 Q In order to conduct a thorough review of the
2 case, what records did you review?

3 A So I reviewed the records of the primary care,
4 Dr. Feola; the ENT, Dr. Abidin; the neurology, Dr. Kayloe;
5 and the neuropsychologists, Dr. Kay and Wilken.

6 Q Did you also look at the emergency room
7 records from Fair Oaks Hospital from the day of the
8 accident?

9 A Yes.

10 Q Did you have big stack of records that
11 consisted of two binders of subpoenaed records?

12 A It was 22 pounds.

13 Q Okay. You also reviewed the Plaintiff's
14 answers to interrogatories?

15 A Correct.

16 Q And you also reviewed his deposition
17 transcript?

18 A Yes.

19 Q And you also reviewed the deposition
20 transcript of Dr. Feola so you could read his notes; is
21 that fair?

22 A Yes.

23 Q They were pretty indecipherable?

1 A I have a little advantage over you.

2 Q Right. Are those the type of records which
3 members of your profession would rely upon to formulate
4 expert opinions in a forensic arena?

5 A I don't have any experience in forensics, but
6 as a clinical doctor those are the records that I would
7 need to manage patients.

8 Q After conducting your review of the materials
9 that we've just identified, did you reach a conclusion to
10 a reasonable degree of certainty in your field regarding
11 the cause of the constellation of symptoms, the cognitive
12 symptoms, which Mr. PLAINTIFF complains about in this
13 litigation?

14 A Yes.

15 Q What did you determine to be the cause of
16 those?

17 A Uncontrolled obstructive sleep apnea.

18 Q Can you explain to the jury what that is.

19 A So obstructive sleep apnea is a condition
20 where the airway behind the tongue and the soft pallet is
21 allowed to collapse down during sleep, obstructs the air
22 flow.

23 It doesn't have to be a complete cessation of

1 air flow, just a reduction enough to drop the oxygen
2 levels, which triggers a fight or flight response, heart
3 rate up, blood pressure up, adrenalin sort of arousal to
4 open the airway, followed by repetitive drifting off and
5 occurring again.

6 Obstructive sleep apnea is defined as more
7 than five an hour; severe obstructive sleep apnea, more
8 than 30 an hour. So it's essentially at least every two
9 minutes during the night having this event occur.

10 It's -- you know, it has a short and long term
11 consequence. Short term, cognitive -- global cognitive
12 functioning can suffer, executive functioning, frontal
13 lobe functioning, organizational, short term memory,
14 attention.

15 And long term is a three to five fold
16 increased risk for stroke, heart attack, heart failure,
17 diabetes, atrial fibrillation, dementia risks, so it has
18 significant consequence and it's something that I work
19 pretty hard to try and control.

20 Q So you just explained the different degrees of
21 sleep apnea. You talked about severe and then just the
22 general five per hour; correct?

23 A Correct.

1 Q Do you have an opinion with regard to Mr.
2 PLAINTIFF as to where he falls on that spectrum?

3 A I would say severe.

4 Q How did you determine that he has severe sleep
5 apnea?

6 A I had several objective sleep studies showing
7 the AHI or Apnea Hypopnea Index being numbers greater than
8 30.

9 Q What does that tell you as a practitioner in
10 this field?

11 A I describe all levels of sleep apnea as
12 significant and should be managed. The mild cases tend to
13 respond to most of the tools I can offer.

14 The moderate or severe, usually we're trying
15 CPAP first, and the more severe usually correlates with
16 more short-term symptomatology, higher risk, and hence
17 more aggressive attempts on my part, working with the
18 patient to try and get it under control.

19 Q Do you have an opinion as to how long Mr.
20 PLAINTIFF has suffered from severe obstructive sleep

21 A Can I pull out apnea?

22 Q Yes, sir.

23 A So prior to 2006 it seemed to get a little

1 sparse in the records, but I would say back to at least
2 2006, prior to that there's some reference to studies and
3 diagnosis, but I have no records to check, so I would say
4 at least 10 years, and the earliest study I could find was
5 from 2007 which showed 32 suffocating events an hour, nine
6 oxygen levels dropping to 92, controlled with CPAP of 11
7 centimeters.

8 Now, I will comment that that was a split
9 night study.

10 Q Tell the jury what that means.

11 A A split night study is not necessarily the
12 optimal tool to describe the severity of sleep apnea.
13 A split night study is more of a pragmatic approach to try
14 and consolidate as much as we can in one night.

15 The patient comes in, the patient's hooked up.
16 Two hours you watch him sleep. If you see any level of
17 sleep apnea above moderate, you start the CPAP that night,
18 get the CPAP level defined, the mask defined.

19 So you're not really apt with the split night
20 to define with a good degree of certainty mild, moderate,
21 or severe.

22 It's sort of binary in the first two hours,
23 yes or no. If they've got it, go on and start titrating.

1 So it was at least severe at that point and --

2 Q Even with a split night study?

3 A Say again?

4 Q Even with a split night study?

5 A Correct. Correct.

6 And he's had three subsequent studies. One
7 was a CPAP titration, but two more that had diagnostic
8 pieces showing sleep apnea.

9 So short answer, at least 10 years.

10 Q Do you have an opinion as to what effect the
11 pronocity of that condition has had on Mr. PLAINTIFF?

12 A Given that the risk factors for sleep apnea
13 include age, and weight, the natural history of sleep
14 apnea if it's left uncontrolled is that it worsens. The
15 consequence in both short and long term worsens.

16 You know, unfortunately, some of the tools we
17 work with, CPAP, are, you know, a bit of a psychological
18 hurdle for patients to accept. And I often see patients
19 after the first or second doctor, and things have declined
20 to where they just can't compensate anymore. It's
21 affecting workplace, home life, driving. They develop
22 atrial fibrillation, diabetes, et cetera.

23 And it sort of forces the issues that they

1 have to be a little more serious about control. So the
2 natural history is progression of sleep apnea and its
3 consequence.

4 Q Is that what you saw in Mr. PLAINTIFF?

5 A From the records, that would be, yes. And

6 Q how does sleep apnea at Mr. PLAINTIFF's
7 level affect the brain?

8 A So I would use the term global cognitive
9 functioning can be detrimented. Sleep is important for
10 brain functioning. Sleep is important for synaptic
11 pruning, synaptic reorganization of the neurons. It's
12 important for clearing of metabolic waste. It's important
13 for getting rid of prion -- missfolded proteins, can lead
14 to prion type analytic plaquing.

15 So sleep apnea, long term, uncontrolled has
16 been shown to have decreasing global cognitive
17 functioning, the domains I mentioned, arousal -- or
18 alertness, organizational, mood, short term memory, by MRI
19 gray matter loss occurs, long term dementia risk goes up.

20 I think -- can I leave it speaking to just
21 neurological, and not get into cardiovascular?

22 Q Sure.

23 A Okay.

1 Q Do you see in patients such as Mr. PLAINTIFF
2 who have sleep apnea for the length of time he has had, at
3 the level he has had, any reports of headaches typically?

4 A Yes, headaches. So in the discussion I have
5 with patients the short term things I'll describe are
6 short term unrefreshed sleep, daytime sleepiness, morning
7 headaches, dry sore throat in the morning, frequent wake
8 ups for bathroom, all attributable in some respect to the
9 sleep apnea. Treat the sleep apnea, all can be expected
10 to improve.

11 Q Do you have an opinion as to whether Mr.
12 PLAINTIFF suffers from that constellation of symptoms that
13 you just described as a result of his sleep apnea?

14 A Yes. In going through Dr. Feola's notes,
15 intermittently, you know, throughout there you will see
16 decreased concentration, fatigue, memory loss, headaches,
17 organizational problems, insomnia.

18 It was sort of a global scale we would use as
19 a percentage. How are you feeling today? 70 percent, 80
20 percent. You could see as the years went on the numbers
21 decreased to the few months before the accident it was
22 down to the 50 or 60 percent.

23 Q And that would have been in the early part of

1 2015?

2 A Correct.

3 Q Does sleep apnea create a risk for other
4 medical conditions?

5 A Yes. So increased risk for diabetes,
6 hypertension, atrial fibrillation, stroke, heart attack,
7 heart failure.

8 A lot of my patients come via cardiologists.
9 And once the cardiologist gets involved the patient's
10 usually rather incentivized to address the apnea.

11 Q As you reviewed this case did you formulate an
12 opinion as to the severity of Mr. PLAINTIFF's sleep apnea
13 in the period leading up to the accident?

14 A Well, the accident I believe was June of '15,
15 and the most -- the study just prior to that was, you
16 know, 2007, so there was a good amount of time where it
17 was severe at that time.

18 Given that there was continued aging, no
19 significant weight loss, his apnea would have been severe
20 if not worse.

21 In September the diagnostic studies, you know,
22 corroborated that he was still severe at that time.

23 Q Did you in fact look, I think you said, at Dr.

1 Abidin's records?

2 A Yes.

3 Q Who did you understand Dr. Abidin to be, or
4 what did he do for Mr. PLAINTIFF?

5 A He's an ENT physician, otolaryngologist,
6 specializing in doing surgical procedures for sleep apnea.

7 Q Did you note that he had been seeing Mr.
8 PLAINTIFF several years back and that Mr. PLAINTIFF
9 resumed his care with him in 2015?

10 A Yes.

11 Q Did you formulate an opinion from looking at
12 Dr. Abidin's records from February of 2015 when he resumed
13 care, as to what condition Mr. PLAINTIFF was in with
14 respect to his sleep apnea at that point in time?

15 A Dr. Abidin felt the sleep apnea was
16 significant. He offered him stimulants, he advised a
17 sleep study, and proceeded on with more surgery.

18 Q What surgeries do you understand that Mr. PLAINTIFF
19 has had to try to address his sleep apnea?

20 A Starting in 2006 I saw notes for a septoplasty
21 turbinate reduction, which is all surgeries addressed at
22 the nose, straightening the septum, shaving it down,
23 reducing the turbinate size.

1 But this is not a sleep apnea surgery. Sleep
2 apnea is back of the throat, behind soft pallet, behind
3 tongue. This procedure, you know, it doesn't address
4 sleep apnea. It can be for breathing problems during the
5 day.

6 Subsequently, in 2007 he had the uvulo palato
7 pharyngo plasty, UPPP. This is the most commonly
8 performed surgery for sleep apnea.

9 Dr. Abidin included a hyoid suspension. This
10 procedure is basically cut out the uvula that hangs down
11 the back of the throat, trim off soft palate based on
12 tonsil material if it's there, and then pull the hyoid
13 bone up forward to try and -- all reduce the mass of the
14 soft tissue in the back of the throat, and try and support
15 the airway.

16 Q Did you form an opinion with respect to that
17 surgery as to whether or not it was effective in addressing
18 the severe sleep apnea Mr. PLAINTIFF had?

19 A So it was ineffective on --

20 Q How did you know that?

21 A A subsequent sleep study showing continued
22 sleep apnea, and Dr. Abidin advised to restart CPAP.

23 Q Did Mr. PLAINTIFF comply with that?

1 A Efforts I believe have been made over the
2 years, but compliance is rather objectively defined as 70
3 percent of the nights more than four hours, and at no
4 point did I see a self report of those numbers, and at no
5 point did I see any compliance download from his CPAP at
6 all.

7 Q What's a compliance download? What do you
8 mean by that?

9 A So the CPAP machines offer the ability to
10 generate a report at the office visit where you can see
11 night by night, breath by breath, what the patient's
12 breathing is doing during the night.

13 It's used both as a marker of compliance, but
14 more importantly, it's used as a tool to modify pressure,
15 mask fittings, and improve compliance.

16 Ten or 20 years ago it was sort of, "Here's
17 your CPAP, good luck." That's not the case with CPAP
18 treatment. Most of my effort is in reviewing these
19 reports with patients and assisting them towards
20 compliance, because CPAP remains the most effective tool
21 for sleep apnea.

22 Surgeries are a distant second, and the ENT
23 doctors are aware of this and are very up front with

1 patients that -- and insurance companies won't pay for the
2 surgeries until you've tried CPAP first.

3 Q So you did not any see any compliance download
4 data in Dr. Abidin's records or anywhere throughout the
5 records?

6 A Correct.

7 Q So then he has a UPPP, tonsillectomy, and you
8 said there was a third surgery?

9 A Yeah. In 2016 he had a midline glossectomy,
10 which is, you know, trying to reduce the base of the
11 tongue mass; again, attempts to try and reduce soft tissue
12 in the --

13 Q Was that surgery effective to address the
14 sleep apnea and the constellation of cognitive symptoms
15 related to that?

16 A No. Sleep apnea persisted.

17 Q Do you have an opinion regarding the nature
18 and extent of the care -- let me restate that.

19 Do you have an opinion as to whether it was
20 normal, extraordinary, anything else, this course of
21 treatment, to try to address the sleep apnea through
22 surgery?

23 A I think it's --

1 MR. GLASS: Objection. That's irrelevant.

2 THE COURT: What's that?

3 MR. GLASS: That's irrelevant. What he's
4 heading into is whether Dr. Abidin properly treated him or
5 not.

6 THE COURT: All right.

7 MR. GLASS: That's irrelevant.

8 MS. BARDOT: I'm not asking whether he
9 properly treated him. I just want to know if this is a
10 lot of care to try to take care of a patient. It goes to
11 the extent of the sleep apnea and how severe it was.

12 THE COURT: All right. The objection's
13 sustained.

14 BY MS. BARDOT:

15 Q Inasmuch as these surgeries were not
16 effective, what was done that you observed to try to
17 address the ongoing sleep apnea problems?

18 A Sorry. What was the question?

19 Q Sure. Inasmuch as the surgeries were not
20 effective --

21 A Correct.

22 Q -- what did you observe being done to try to
23 address the sleep apnea?

1 A Oh, okay.

2 So most of the office visits by both Dr. Feola
3 and Dr. Abidin included, "Patient advised to use his CPAP
4 more," and both of them also started offering stimulant
5 medication to support his cognitive functioning.

6 Sleep apnea is managed by controlling the
7 airway during the night so that repetitive suffocating
8 events don't occur.

9 Stimulant medications are a crutch to treat a
10 symptom without addressing the underlying sleep apnea.
11 Stimulants are only appropriate if the underlying
12 condition is controlled. If the underlying condition
13 isn't controlled you'll get into dose escalation and
14 eventual failure of stimulants as the condition
15 progresses.

16 Q Did you see that dose escalation and failure
17 that you just described as it pertains to Mr. PLAINTIFF?

18 A Yes. It was I believe five if not more,
19 different stimulants: Nuvigil, Provigil, Vyvanse,
20 Adderall, Ritalin, increasing dose of each. They failed
21 to control symptoms, switch to something else.

22 And again, the underlying sleep apnea remained
23 uncontrolled.

1 Q Do you have an opinion as to whether that
2 course of treatment was -- had any impact on Mr.
3 PLAINTIFF's cognitive performance?

4 A So stimulant medications will crutch cognitive
5 functioning temporarily. If you don't control the
6 underlying sleep apnea, as I said, you'll have persistent
7 decline and organ damage to the brain, heart, and lungs
8 because of the repetitive desaturations throughout the
9 night. So the crutch will fail.

10 Q Do you have an opinion as to whether that
11 occurred in this case?

12 A Yes.

13 Q And do you have an opinion when that crutch
14 failed?

15 A I think his crutch -- the stimulants continue
16 to fail, progressing -- you know, I don't think there's a
17 specific time that it failed. It's been a slow natural
18 progression of the consequence on his cognitive
19 functioning.

20 Q You've mentioned sleep studies and you've
21 mentioned he had a couple.

22 I think you mentioned he had one in September
23 2015. That would have been before his glossectomy that

1 occurred in February of 2016; correct?

2 A Correct.

3 Q Was that the split night or was that something
4 different?

5 A The September of '15 was a polysomnogram, the
6 whole night dedicated to just watching his sleep, getting
7 a good sense of the level and the distribution of
8 suffocating events during the stages of sleep body
9 positions, et cetera.

10 Q Does that capture both REM sleep and non-REM
11 sleep?

12 A Correct. His REM sleep is -- REM sleep
13 usually occurs in the latter half of the night. REM sleep
14 is associated with skeletal muscle paralysis, so that's
15 usually where we're seeing our worst sleep apnea.

16 So a full night polysomnogram is going to get
17 the best sense of how bad the apnea is, and at that point
18 it was severe, 32 an hour, decreased to 82 percent.

19 Q And then he had the sleep study on March 24,
20 2016, after he had the glossectomy; correct?

21 A Correct.

22 Q And that would have been the split night that
23 you described?

1 A Correct.

2 Q And that would not measure REM based on what
3 you just said; correct?

4 A He had no REM during that diagnostic two
5 hours.

6 Q Did Mr. PLAINTIFF have by history the same
7 sort of sleep apnea problems during REM versus non-REM?

8 A No. The records that I was able to see, REM
9 versus non-REM, showed his REM was three times as bad as
10 his non-REM for number of suffocating events an hour. And
11 that's pretty typical.

12 Q Are you able at all to make a comparison
13 between the September 4, 2015 -- I'm sorry -- yeah,
14 September 4, 2015, sleep study, which was the split night,
15 and the one that was done on March 24, 2016, or are they
16 not comparable?

17 A So the March of '16 was the split, the
18 September of '15 was the diagnostic.

19 The diagnostic study in September of '15 was
20 the best assessment of underlying level of sleep apnea.
21 The March of '16 was the split, and the two hours was not
22 a sufficient sampling of no mask on to get a good -- it
23 basically showed sleep apnea. That was enough they

1 started CPAP.

2 Q Do you have an opinion whether there was any
3 significance in his sleep apnea between these two sleep
4 studies?

5 A I can't say. The sleep apnea remains
6 significant. The sleep apnea was not assessed at an
7 accurate level with the study in March of '16.

8 But it's irrelevant in that the level was
9 sufficient to begin CPAP, CPAP showed good control, and
10 treatment with CPAP was the appropriate indication at that
11 point.

12 Q So when Mr. PLAINTIFF used a CPAP, and I know
13 you said he was not compliant with it, do you have an
14 opinion on its effectiveness for him?

15 A Oh, yes. So like the split in '16, which is
16 the most recent sleep study, they did start CPAP two hours
17 into the night, and they modified the settings to a
18 pressure of 12, which is not too high -- it's kind of
19 midrange -- and it brought his suffocating events down to
20 1.5 per hour.

21 Q That's a significant decline?

22 A So the goal -- 32 is where his baseline was.
23 That's severe. The goal is to get him down less than

1 five, and 1.5 would be good.

2 Q Do you have patients who are simply intolerant
3 to CPAP?

4 A Yes.

5 Q What do you do with those patients?

6 A The four groupings of management I'll discuss
7 with patients. One is going to be some sort of breathing
8 device. Now, that could be CPAP, BiPAP, ASV, there's
9 different modes of ventilations. The techniques have
10 gotten much better with the machines, 30 or 40 different
11 masks to work with.

12 I will work with them in part to try and make
13 some combination of mask and machine work, because it is
14 the most effective. It will get them down to one, two,
15 three times an hour.

16 If they are unwilling -- and I have patients
17 just unwilling -- I'll look at Options 2, 3, or 4, the
18 second being mouth guards.

19 Mouth guards can be used to treat sleep apnea.
20 It's fashioned with one piece on the upper teeth, one on
21 the lower, the two connect, pull the jaw forward, base of
22 the tongue attached to the jaw so it's sort of tethering
23 that tongue forward during sleep.

1 Dentists make these. They tend to work best
2 in the milder cases, non-obese side sleepers. So that
3 will be a discussion.

4 Weight loss. Weight loss is a big way to make
5 improvement in sleep apnea. So either some of these ideal
6 protein, you know, or doctor-guided weight loss. I don't
7 do any of these programs myself, but the weight loss can
8 be effective to the extent where -- when you consider the
9 last option, the surgical options.

10 More and more the bariatric procedures have
11 become more effective, less risky. Those are the weight
12 loss surgeries. Weight loss surgery by, you know, review,
13 studies show about 70 to 80 percent effectiveness at
14 treating sleep apnea.

15 Now, that's in contrast to the UPPP, the most
16 common ENT procedure, back of the throat, which is about
17 50 percent.

18 So weight loss, dental device. It's pretty
19 uncommon that I'm having patients get the procedures that
20 have been described here.

21 I will have septoplasty and turbinate
22 reductions done on patients for the point of improving
23 airflow through the nose, so they can tolerate smaller

1 masks that just cover the nose. But it's been rare that
2 I've sent a patient for any of these other ones.

3 Q Have you in your clinical practice had patients
4 with sleep apnea at Mr. PLAINTIFF's level who complain of
5 the constellation of symptoms that he complains of in this
6 case, or is this unusual?

7 A He's at the severe end, but probably 15, 20
8 percent of the patients -- new patients I'll take in will
9 be similar to this.

10 Q What have you done to make the determination
11 that you believe that the constellation of symptoms that
12 he has been reporting in this litigation are related to
13 his obstructive sleep apnea and accumulative effect of
14 that rather than let's say a brain injury or something
15 else?

16 A So I think that the first diagnosis he had was
17 sleep apnea. It's an objective diagnosis. It's defined
18 with a sleep study.

19 Sleep apnea is associated with all of these
20 constellations of symptoms, and it should be effectively
21 managed to see improvement in these symptoms.

22 He was never effectively controlled with a
23 CPAP device and the surgeries didn't work.

1 Along the way ADD seemed to have been added
2 in. I don't have expertise in ADD, but sort of by
3 outcomes raised, that if you have your symptoms explained
4 by one diagnosis, controlled with one diagnosis, don't
5 just start adding diagnoses to confuse the situation.

6 So sleep apnea explains all of these symptoms.
7 Controlling the sleep apnea can improve, but if sleep
8 apnea is left uncontrolled there can be irreversible
9 changes, as I mentioned, gray matter loss in the brain is
10 one of these, heart attacks, heart failure. So control of
11 sleep apnea is what you want.

12 Q Based upon your review of this case, do you
13 have an opinion regarding whether or not Mr. PLAINTIFF is
14 capable of performing full-time work as an attorney?

15 A I don't -- I don't think I can -- he has the
16 symptoms I commented on. He's taking stimulants to try
17 and compensate for them. Whether or not he can perform as
18 an attorney is not really my expertise.

19 Q Okay. Fair enough. Thank you.

20 THE COURT: Okay. Cross.

21 MR. GLASS: Yes, sir.

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CROSS EXAMINATION

BY MR. GLASS:

Q Hi, Dr. Miller.

A Hello.

Q So you're not able to say that the obstructive sleep apnea, the history of severe OSA, has anything to do with whether or not he can perform as an attorney; correct?

A I don't know at what level of cognitive dysfunction he can perform as an attorney.

Q Right. So you think he has cognitive dysfunction; correct?

A From record review it was shown.

Q Right. Because you didn't see and you didn't examine Mr. PLAINTIFF; right?

A Correct.

Q You talked about MRI and gray matter, but we know the MRI was normal in this case; right?

A That was for -- yes, correct.

Q You talked about cardiologic, but this is not a cardiology case; right?

A Hypertension.

Q Would you expect that -- and you described

1 this constellation of cognitive symptoms that he has;
2 right?

3 A Yes. Dr. Feola's notes said decreased
4 concentration, fatigue, memory loss, headaches,
5 organizational problems, and insomnia.

6 Q And he worked right up to the date of the car
7 accident with all of that; right?

8 A I'm not aware of how well he worked or worked
9 up -- medical record review.

10 Q Okay, right. So on the occupational side, in
11 terms of what he did for a living, how many hours he
12 worked, how good he was, what his love for the job was,
13 that sort of stuff, you have no idea?

14 A That's fair to say.

15 Q Your constellation of cognitive symptoms, I
16 tried to write fast -- alertness, short-term memory
17 issues.

18 What else was there?

19 A Was this from Dr. Feola's notes or --

20 Q No. You used the phrase constellation of
21 symptoms.

22 A So global cognitive functioning, I think would
23 include domains of attention, alertness, short-term

1 memory, judgment, executive functioning, organizational,
2 mood. I mean the whole brain suffers.

3 Q His whole brain suffered in your view because
4 of his long-standing obstructive sleep apnea; right?

5 A Yes.

6 Q So you would not expect, would you, a
7 neurocognitive report that describes him as extraordinary
8 in terms of his brain?

9 A I think it depends on where you start out.
10 Decline can start high and not become compromising in
11 functioning for quite some time.

12 Q Are you able to tell us where along this time
13 line the symptoms that you say derived from obstructive
14 sleep apnea prevented him from working?

15 A I can't say when he was unable to work. I can
16 comment on the stimulants that were used for years prior
17 to supplement his cognitive functioning, but I can't say
18 when he was unable to work.

19 Q And you're not a neurologist, so you really
20 can't help us too much on mild traumatic brain injury,
21 things like that; right?

22 A Well, I was involved in the head injury center
23 at Walter Reed. The National Intrepid Center of

1 Excellence, when it got started up they needed a sleep
2 doctor as part of the evaluation of all the head injury
3 patients coming in, so I was involved in that.

4 Q But you have not been asked in this case to
5 look at this case from a perspective of, did he suffer a
6 mild traumatic brain injury in this car accident?

7 A I would say I was asked to comment on his
8 sleep, correct.

9 Q Correct. However, the car accident is not
10 totally unrelated to his, in your words, sleep apnea
11 symptomatology; right?

12 MS. BARDOT: Object to the form. I don't
13 understand that question.

14 THE COURT: Overruled.

15 THE WITNESS: What does overruled mean?

16 BY MR. GLASS:

17 Q Let me ask a better question. Good for you
18 for asking that question.

19 Your report -- and it's not paginated, at
20 least the version I have -- talks about how some of the
21 things from the car accident, some of the treatment he
22 got, worsened his sleep apnea symptoms.

23 Do you remember that?

1 A Yes. The stuff that I wrote.

2 Q Yes.

3 A Is that in here that I can look at it?

4 Q Absolutely. In fact -- well, let's see.

5 A Do you know the number?

6 Q It's not paginated.

7 A Oh.

8 MS. BARDOT: Your Honor, is that published to
9 the jury?

10 THE COURT: That's not published to the jury.

11 MS. BARDOT: Okay. I just want to make sure,
12 because I don't know what we're doing.

13 BY MR. GLASS:

14 Q Do you recognize this as a page from your
15 report?

16 A Oh, yes.

17 Q Go ahead.

18 A So I think the first sentence where I said,
19 multiple reasons could have contributed, but I want to
20 emphasize as you outline there, beyond the inevitable,
21 natural progression of his underlying obstructive sleep
22 apnea.

23 I think that's paramount. Other things can

1 contribute to quality of sleep. If he was taking
2 narcotics, that can worsen it. He was prescribed them. I
3 don't know if he took them or not.

4 He was prescribed oxygen. Oxygen without CPAP
5 can worsen it as well.

6 Q You don't know whether he took that or not
7 either; right?

8 A I don't know if he took that or not.

9 The insomnia. If you were to add insomnia on
10 to, you know, obstructive sleep apnea it's going to cause
11 worsening of that.

12 So I think -- I wanted to emphasize that this
13 was inevitable, natural progression. If you want to
14 invoke potential other things, these are potential things
15 that I would address as a doctor managing it.

16 Q But you're not here to tell us and you have no
17 opinion as to where this inevitable, natural progression,
18 as you call it, would reach the point where he's no longer
19 capable of working in his lifetime occupation as an
20 attorney. You're not here to say that.

21 A No. I would not draw -- I wouldn't draw the
22 line where that decline is -- where he can't work.

23 I would say the decline is there, it's long,

1 it's prolonged, it's been supplemented with stimulants,
2 but where that line is, I don't know.

3 Q Do you even know if he's crossed that line
4 today -- by today, that is whether the symptoms that you
5 relate to obstructive sleep apnea are the cause of him not
6 being able to work today?

7 A I have no comment if he can work or not.

8 Q Thank you.

9 THE COURT: Redirect.

10 MS. BARDOT: Very briefly.

11 REDIRECT EXAMINATION

12 BY MS. BARDOT:

13 Q Dr. Miller, you reached your opinions in this
14 case independently, without reviewing expert opinions from
15 Dr. Kay or Dr. Tuwiner; correct?

16 A Correct.

17 Q And you have no opinion on whether he can or
18 can't work, or if he will in the future be able to work or
19 not work? You just didn't address that point; correct?

20 A Correct.

21 Q Do you have an opinion as to whether his work
22 would be affected if he got his sleep apnea under control?

23 MR. GLASS: Objection. Based upon his prior

1 answers that's irrelevant. Since he doesn't have the
2 first opinion, how could he have the second?

3 MS. BARDOT: I'm asking an entirely different
4 question. He's got sleep apnea. I'm asking if he got it
5 under control, do you have an opinion as to whether his
6 ability to work would be affected at all.

7 THE WITNESS: His cognitive --

8 THE COURT: Wait. I haven't ruled on the
9 objection.

10 THE WITNESS: Oh, all right.

11 THE COURT: Overruled, which means you can
12 answer it.

13 THE WITNESS: So I think that controlling his
14 sleep apnea compliant with CPAP would improve his
15 symptoms.

16 BY MS. BARDOT:

17 Q Would he then have any limitations on his
18 ability to work, or do you not have an opinion on that?

19 A I can't comment. I'll say he can improve his
20 symptoms by controlling the sleep apnea. Whether that
21 puts him on a level to work --

22 Q Is for someone else?

23 A Yes.